

## Securities Services Industry: Disrupted?

### PROGRAM

Wednesday, 23 May		Moderator of the Day: <b>Thomas Zeeb</b> <i>SIX, Head Securities &amp; Exchanges, Member of the Executive Board / Chairman ISSA</i>
Morning	Arrival of Participants	
12:00 – 13:00	Lunch	
13:00 – 13:15	Opening Symposium / Welcome Remarks	<b>Thomas Zeeb</b> <i>SIX, Head Securities &amp; Exchanges Member of the Executive Board Chairman ISSA</i>
13:15 – 13:45	Current ISSA Working Group Activities	<b>William Hodash</b> <i>Managing Director, Enterprise Data Management, DTCC Chairman Operating Committee ISSA</i>
13:45 – 14:30	Strategic Challenges in the Financial Services Industry	<b>David Wright</b> <i>Partner FLINT GLOBAL, Chairman EUROFI and former Secretary General IOSCO</i>
14:30 – 15:00	Break	
15:00 – 16:30	Cyber / Liability Impacts	<b>Thomas J. Harrington</b> <i>Managing Director, Chief Information Security Officer, Citigroup</i> <b>Javier Perez-Tasso</b> <i>Chief Executive Americas and UK Region, SWIFT ISSA Board Member</i>
16:30 – 17:00	Break	
17:00 – 18:45	Distributed Ledger Technology Fireside Chat	<b>Blythe Masters</b> <i>CEO, Digital Asset Holdings, LLC</i> <b>Peter Hiom</b> <i>Deputy Chief Executive Officer, ASX</i> <i>Moderated by Justin Chapman</i> <i>Global Head of Market Advocacy &amp; Innovation Research, Northern Trust</i>
	Panel	<b>Moderator</b> <b>Justin Chapman</b> <i>Global Head of Market Advocacy &amp; Innovation Research, Northern Trust</i> <b>Panelists</b> <b>Blythe Masters</b> <i>CEO, Digital Asset Holdings, LLC</i> <b>Peter Hiom</b> <i>Deputy Chief Executive Officer, ASX</i> <b>Robert Palatnick</b> <i>Managing Director, Chief Technology Architect, DTCC</i> <b>Philippe Ruault</b> <i>Head of Digital Transformation, Member of the Executive Committee, BNP Paribas Securities Services</i> <b>David Wright</b> <i>Partner FLINT GLOBAL, Chairman EUROFI and former Secretary General IOSCO</i>
19:30 – 22:00	Cocktails and Dinner	

Thursday, 24 May		Moderator of the Day: <b>Ulrich Stritzke</b> <i>Managing Director Group Operations Management, Credit Suisse, ISSA Board Member</i>
07:00 – 08:15	Breakfast	
08:30 – 09:50	<b>Robotics / Artificial Intelligence</b>	<b>Matthias Voelkel</b> <i>Consultant, Partner, Global Leader of the Exchanges &amp; Capital Markets Infrastructure, McKinsey</i> <b>Vincent van Lierde</b> <i>Expert Partner, McKinsey</i> <b>Brenton Harder</b> <i>Director, Transformation &amp; Program Management, Client Services, BNY Mellon</i>
09:50 – 10:00	<b>Introduction to Breakout Sessions</b>	<b>Urs Stähli</b> <i>Secretary ISSA</i>
10:00 – 10:30	Break	
10:30 – 12:00	<b>Breakout Sessions</b> (3 Groups per Topic) Cyber / Liability Impacts	<b>Moderators</b> <b>Andy Smith</b> <i>CRO, Client Service Delivery, Risk Department BNY Mellon</i> <b>Thomas Koch</b> <i>Head Corporate Security and Chief Security Officer, SIX</i> <b>Brett Lancaster</b> <i>Managing Director, CISO Office, SWIFT</i>
	Distributed Ledger Technology	<b>Urs Sauer</b> <i>Director, Senior Business Development Manager, SIX Securities &amp; Exchanges</i> <b>Chris Church</b> <i>Chief Business Development Officer, Digital Asset Holdings, LLC</i> <b>Stephen Lindsay</b> <i>Head of Standards, SWIFT</i>
	Robotics / Artificial Intelligence	<b>Matthias Voelkel</b> <i>Consultant, Partner, Global Leader of the Exchanges &amp; Capital Markets Infrastructure, McKinsey</i> <b>Vincent Van Lierde</b> <i>Expert Partner, McKinsey</i> <b>Thorsten Ehinger</b> <i>Senior Associate, Mc Kinsey</i> <b>Philipp Horsch</b> <i>Senior Associate, Mc Kinsey</i>
12:00 – 13:15	Lunch	
13:15 – 15:15	<b>Breakout Sessions</b> (continued)	
15:15 – 15:45	Break	
15:45 – 17:15	<b>General Assembly ISSA</b>	<b>Preparation of Feedback Sessions</b> Cyber / Liability Impacts Distributed Ledger Technology Robotics / Artificial Intelligence
18:30 – 22:00	Cocktails and Gala Dinner	

Friday, 25 May		Moderator of the Day: <b>Josef Landolt</b> <i>CEO ISSA</i>
07:00 – 08:15	Breakfast	
08:30 – 10:00	<b>Feedback and Recommendations from Breakout Sessions</b> Panel	<b>Moderator</b> Simon Thomas <i>Chief Executive Officer</i> <i>Thomas Murray Network Management Limited</i> <b>Panelists</b> One per topic
10:00 – 10:30	Break	
10:30 – 11:45	<b>Financial Crime Compliance Principles</b> Panel	<b>Moderator</b> Vicky Kyproglou <i>Head Network Management &amp; Market Infrastructure Management, UBS, ISSA Board Member</i> <b>Panelists</b> <b>Mark Gem</b> <i>Chief Compliance Officer, Clearstream</i> <b>Yannick ChereI</b> <i>Head Financial Crimes Compliance, Standard Chartered Bank</i> <b>Rita Mason</b> <i>SIX, Senior Financial Crime Compliance Officer</i> <b>Emma Johnson</b> <i>Market Advocacy, Deutsche Bank</i> <b>Karen Cox</b> <i>EMEA Head of AML for Markets and Securities Services, Citibank</i>
11:45 – 12:00	<b>Symposium Closing</b>	<b>Lee Waite</b> <i>Managing Director, Head of North America Markets and Securities Services, Citigroup</i> <i>Chairman ISSA (as of 24 May, 2018)</i>
12:15 – 13:30	Lunch	
Afternoon	Departure of Participants	