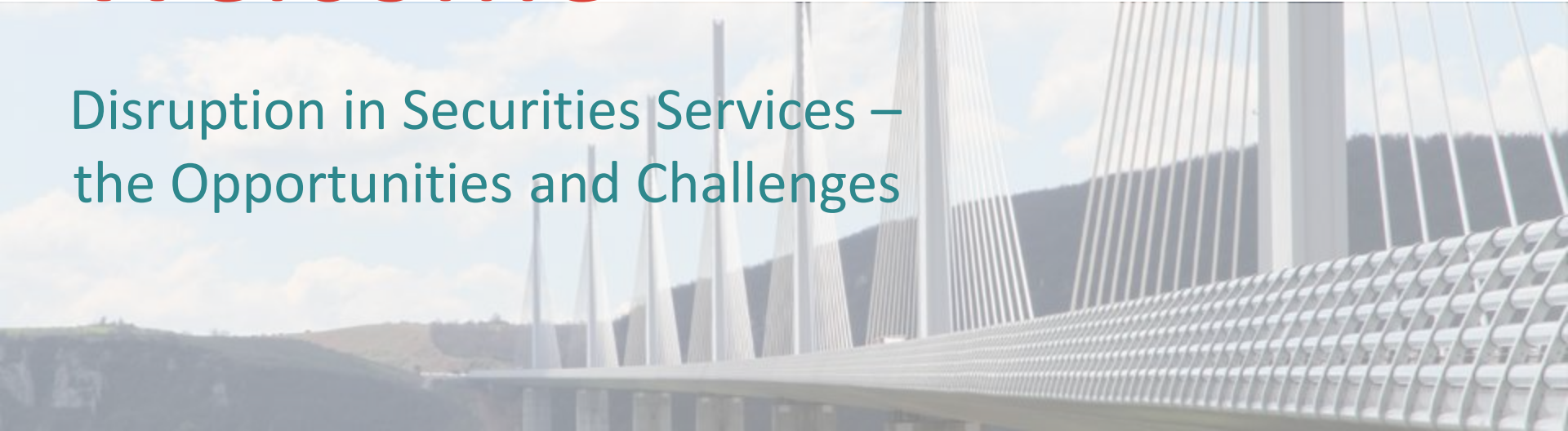




Symposium 10 to 12 May 2023

Welcome

Disruption in Securities Services –
the Opportunities and Challenges

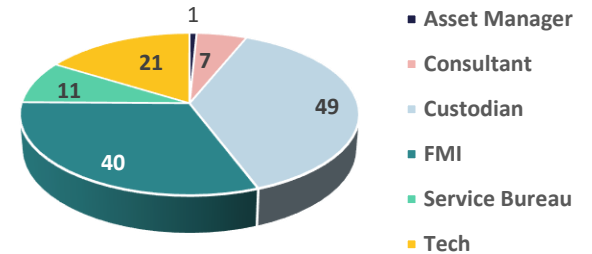


129 Symposium Attendees by Geography and Segment

by Geography



by Segment





129 Symposium Attendees from 78 Firms

Accenture Plc
Amazon
B3 S.A. Brasil
Bank Vontobel
Banque Internationale à Luxembourg
Banque Pictet & Cie SA
BNP Paribas
BNY Mellon
Broadridge
Brown Brothers Harriman
Capco
Central Depository Bulgaria
Central Depository Services India
Central Securities Depository Ghana
China Sec. Depository and Clearing
Citigroup
Clearstream Banking
Coherent
Commerzbank
Credit Suisse

CSCS PLC
Deutsche Bank
Deutsche Börse Group/Clearstream
Deutsche WertpapierService Bank
DTCC
Erste Group Bank AG
Euroclear
Federal Reserve Financial Services
FIS
FMDQ Group
Finality UK
GlobeTax
Goal Group Limited
Hong Kong Exchanges and Clearing
Hobson Cardew
HSBC
Incentage
Indeval
Infosys
Institutional Shareholder Services

Intesa Sanpaolo
ISSA
J.P. Morgan
KBC Bank
Korean Securities Depository
Landesbank Baden-Württemberg
LGT Financial Services
Liechtensteinische Landesbank
Macao CSD
Montis Group Limited
MUFG Bank
Myriad Group Technologies
NASDAQ
National Stock Exchange of India
Northern Trust Corporation
Proxymity
Raiffeisen Bank International
S&P Global
Saudi Stock Exchange (EDAA)
SEB

SIX
SLIB
Standard Bank of South Africa
Standard Chartered Bank
State Street Bank and Trust
SWIFT
Swiss Re Management
Taiwan Depository & Clearing
Tata Consulting Services
The Mauritius Commercial Bank
The Stock Exchange of Thailand
The ValueExchange
TMX
U.S. Bank
UBS
UniCredit
VP Bank
Zürcher Kantonalbank



Key Achievements 2022-2023

Connecting

- Increased and broadened ISSA Membership and Board
- ISSA Member Survey
- 2023 Working Group Events
- New marketing tool to enable better communication with Members
- Africa in Focus event
- CNBC Interview

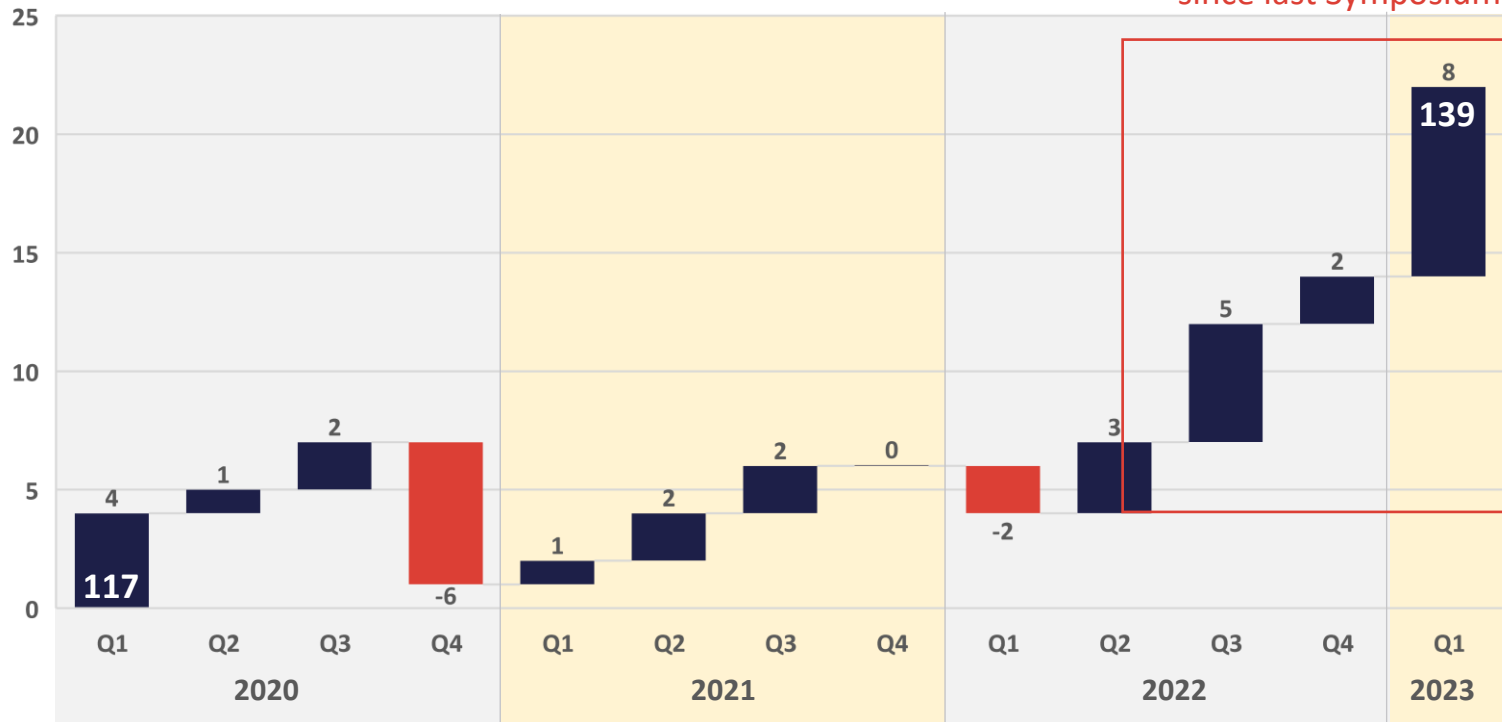
Collaborating

- 21st ISSA Symposium
- Increased Working Groups from 8 to 11
- ISSA at SIBOS (two panels on Standards and DLT / Women in Action)
- ISSA at Post Trade 360 & SMPG
- Collaboration with other Associations
- Working Group surveys
- 25 reports and articles published

Effecting Change

- ISSA Questionnaire on Financial Crime Compliance added to the SWIFT Registry
- DLT Podcast series
- ISO 2022 Education and Resource Centre
- Cyber Awareness presentations

Membership Development (2020 to date)



Homework from 2022 Symposium

- To create a rolling five-to-ten-year view of the future of Securities Services
- To collaborate with regulators
- To broaden the membership base
- To investigate talent and what was called federated learning options i.e. education
- To explore the challenges and potential solutions for Customer Due Diligence