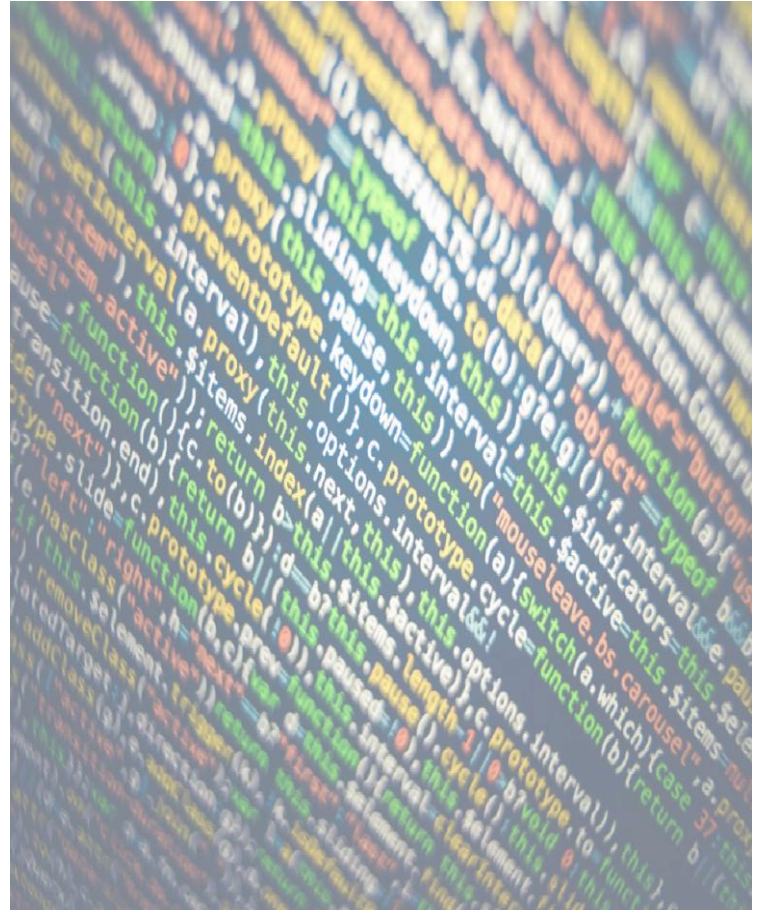


ISSA Symposium 2025

Purpose

The Working Group's purpose is to research the key challenges and issues that continue to impact the Asset Servicing area with the aim of providing best practice guidance for existing services as well as proposing alternative business models. Through these improvements and fundamental change propositions, the Working Group can assist the Securities Services industry in adopting more accurate, efficient and cost-effective Asset Servicing arrangements.

During 2025, the purpose will be to take Issuer feedback into account before drafting a major ISSA white paper to the industry urging Issuers, Agents, Securities Servicers, Listing Authorities and CSDs to collaborate on prioritized automation initiatives.



Asset Servicing

Scope

In scope topics for 2025 include:

- **Asset Servicing – Corporate Governance**
Corporate governance is becoming increasingly important to investors. Within the Asset Servicing arena, governance events – such as Proxy Voting and Class Actions – involve operational processes that are highly manual, inefficient and costly. This subgroup will explore opportunities to improve the current processes through revised practices and automation in order to improve the effectiveness, timeliness and accuracy of the service offering whilst taking into account key influences such as, but not limited to, regulation.
- **Asset Servicing – Technology Enablers**
This subgroup will investigate the potential for utilizing technology, such as – but not limited to – APIs, to improve operational processes in Asset Servicing. Validation of the technology's potential will be achieved through a number of key use cases, including corporate governance events (see above) and complex corporate actions.

Key Deliverables

- A paper which will validate findings from various surveys conducted by the two Asset Services WG Subgroups and engage with Corporate Issuers and their Agents in collaboration to improve Corporate Governance processes and overall levels of automation

Key Outcomes

- Education of the membership on the current operational processes for proxy voting and class actions and how the Securities Services industry could benefit from change
- A road map for the Securities Services industry on the potential for technology to enable positive change in asset servicing

Working Group Co-Chairs

- Anne Marie Bria, DTCC
- John Kirkpatrick, Broadridge

Executive Sponsor

- Mike Sleightholme, Broadridge