ISSA Symposium 2025



Purpose

The ability to understand and mitigate risks is key to all participants in the Securities Services value chain. Mitigating risks can prevent organizations being impacted by unexpected events and from potentially suffering financial, operational and / or reputational loss.

The Securities Services Risks 2025 Working Group has therefore created a new report which aims to provide those actively involved in the Securities Services industry with sufficient information to be able to both identify potential risks and implement actions to mitigate these risks. This unique piece of informative and didactic material about the Capital Markets ecosystem is created by, and for, practitioners and counterparties in the Securities Services business.



Securities Services Risks 2025

Scope

In 2023, ISSA re-established the Securities Services Risks Working Group with the aim of producing an updated Securities Services Risks report. The new report would build on the basis of the 2016 'Inherent Risk within the Global Custody Chain' document as fundament and supplement it with observations from the Working Group participants on new and emerging risks within the value chain.

The aim of the Working Group participants was to curate this document covering best practice considerations and for awareness purposes. It is also a usefuly educational tool for those wanting to refresh their knowledge who are new to the industry.

Key Deliverables

- A new 'Inherent Risk within the Global Custody Chain' report
- Inclusion in the report, or links, to relevant topics covered by other ISSA Working Groups (e.g. Financial Crime Compliance, Cyber Risk, etc.)
- Additional content adapted to social media channels and webinars to support the main topics in the report

Key Outcomes

 To inform and educate ISSA members, as well as those who have regulatory responsibility, about the new and emerging risks within the Securities Services value chain

Working Group Co-Chairs

- Emma Johnson, JP Morgan
- Andy Smith, BNY Mellon

Executive Sponsor

N/A