DI&O Group

11 August 2026, On Teams

Meeting Minutes (Julia McKenny ISSA)

Participants (in attendance in bold):

Rupert	Booth	MYRIAD Group Technologies Ltd
Akhila	Chaganti	Deutsche Bank AG
Shruti	Deb	The Investment Association
Giles	**Elliott**	**Tata Group**
Siwei	Feng	Deutsche Bank AG
Roland	**Guennou**	**Standard Chartered Bank**
Jonathan	**Hartwell**	**Northern Trust Corporation**
Marie-Charlotte	**Henseval**	**Euroclear**
Steven	Ingleby	Strate (Pty) Ltd
Helen	Johnson	MYRIAD Group Technologies Ltd
Estefania	Narvaez	Montran
Fergal	Keavey	Northern Trust Corporation
Angelos	**Kontogiannis**	**BNP Paribas S.A.**
Shomali	**Lahiri**	**Saphyre, Inc**
Brett	**Lewis**	**GlobeTax Services, Inc.**
Paul	Maley	Deutsche Bank AG
Oliver	**Maxwell**	**S&P Global**
Ana Cristina	Medina	U.S. Bank, N.A.
Joe	Mernagh	UBS Group AG
Alexander	Moss	MYRIAD Group Technologies Ltd
Samuel	Ng	Hong Kong Exchanges and Clearing Limited
Bulat	Nizamov	CMA Small Systems AB
Kurt	Ospelt	LLB
Cristina	Pagan	The Depository Trust & Clearing Corporation
Pallav	Pandey	Deutsche Bank AG
Jill	Moralee	Accenture
Florian	Pfleiderer	Deutsche Börse Group
Santhosh	Ramarao	State Street Corporation
Sivaraman	Ramasamy	Tata Group
Isa	Ribeiro	Deutsche Börse Group
Neil	**Evans**	**S&P Global**
Kelly	Robinson	Strate (Pty) Ltd
Robin	Saunders	HSBC Holdings Plc
Holly	Winsor-Crowley	State Street Corporation
Serkan	Yagci	x-markets GmbH & Co. KG
Audrey	Simon	Euroclear
Rohit	Trivedi	TCS

Email: issa@issanet.org
c/o Westhive, Hardturmstrasse 161,
CH-8005 Zurich, Switzerland
www.issanet.org

Agenda

1. Update on Subgroups

Key updates and discussion points

- Julia McKenny opened the meeting and asked each subgroup lead to provide progress updates on the actions agreed at the previous session.

1. Client Awareness Subgroup

- Jon Hartwell and Roland Guennou reported that they had developed an initial "straw man" document containing guidelines for client communications, primarily from a KYC/AML perspective.
- The group also created a lifecycle/value-chain view of the onboarding process to demonstrate the importance of obtaining accurate information early in the process.
- They are reviewing sample client information requests from firms to identify common data elements and support greater consistency across the industry.
- Discussion clarified that the objective is **not** to create a new industry-standard questionnaire, but rather practical guidance and harmonisation principles that improve client experience while allowing firms to maintain their own processes.
- Next step: one further subgroup iteration, then circulation of the draft to the wider working group for review, targeted for approximately the following fortnight.

2. E-Signature Subgroup

- Brett Lewis reported on discussions with the Electronic Records and Signatures Association. The association was described as undergoing organisational changes and not having a strong focus on banking or international securities services.
- The subgroup is considering engaging directly with DocuSign, which appears better positioned to support the work given its resources and commercial interest in the topic.
- During the meeting chat, Alexandre Kech offered introductions to DocuSign contacts involved in e-signature and delegation-of-authority initiatives, and Brett Lewis welcomed the introduction.

3. Global Minimum Documentation Standard / IOSCO Engagement

- Julia McKenny advised that she had prepared a draft blueprint outlining how a global minimum documentation standard could be developed and presented to IOSCO.
- The draft had been shared with selected contributors, with a follow-up discussion planned to refine the approach ahead of engagement with the IOSCO Investment Committee.

4. Industry Visibility

- An update was provided on ISSA's participation at PostTrade 360, where a panel discussion will address industry onboarding and documentation challenges.
- The outputs from the DI&O working group paper are expected to serve as one of the core reference points for that panel discussion.

Agreed Actions

1. Client Awareness subgroup to complete another internal review and circulate the draft guidance and lifecycle materials to the wider DI&O working group.
2. E-Signature subgroup to define its engagement strategy following a further planning call and explore direct collaboration with DocuSign.
3. Continue development of the global minimum documentation standard blueprint and prepare for IOSCO discussions.
4. Circulate relevant documents to the working group ahead of the next meeting for feedback.