

## Securities Services Industry: Disrupted?

# PROGRAM (Status April 12, 2018)

<b>Wednesday, 23 May</b>		Moderator of the Day: <b>Thomas Zeeb</b> <i>SIX, Head Securities &amp; Exchanges Member of the Executive Board Chairman ISSA</i>
Morning	Arrival of Participants	
12:00 – 13:00	Lunch	
13:00 – 13:15	Opening Symposium / Welcome Remarks	<b>Thomas Zeeb</b> <i>SIX, Head Securities &amp; Exchanges Member of the Executive Board Chairman ISSA</i>
13:15 – 13:45	Current ISSA Working Group Activities	<b>William Hodash</b> <i>Managing Director, Enterprise Data Management, DTCC Chairman Operating Committee ISSA</i>
13:45 – 14:30	Strategic Challenges in the Financial Services Industry	<b>David Wright</b> <i>Partner FLINT GLOBAL, Chairman EUROFI and former Secretary General IOSCO</i>
14:30 – 15:00	Break	
15:00 – 16:30	Cyber / Liability Impacts	<b>Thomas J. Harrington</b> <i>Managing Director, Chief Information Security Officer, Citigroup</i> <b>Javier Perez-Tasso</b> <i>Chief Executive Americas and UK Region, SWIFT ISSA Board Member</i>
16:30 – 16:45	Break	
16:45 – 18:30	Distributed Ledger Technology Fireside Chat	<b>Blythe Masters</b> <i>CEO, Digital Asset Holdings, LLC</i> <b>Peter Hiom</b> <i>Deputy Chief Executive Officer, ASX</i>
	Panel	<b>Moderator</b> <b>Justin Chapman</b> <i>Global Head of Market Advocacy &amp; Innovation Research, Northern Trust</i> <b>Panelists</b> <b>Blythe Masters</b> <i>CEO, Digital Asset Holdings, LLC</i> <b>Peter Hiom</b> <i>Deputy Chief Executive Officer, ASX</i> <b>Robert Palatnick</b> <i>Managing Director, Chief Technology Architect, DTCC</i> <b>Philippe Ruault</b> <i>Head of Digital Transformation, Member of the Executive Committee, BNP Paribas Securities Services</i> <b>David Wright</b> <i>Partner FLINT GLOBAL, Chairman EUROFI and former Secretary General IOSCO</i>
19:00 – 22:30	Cocktails and Dinner	

Thursday, 24 May		Moderator of the Day: <b>Ulrich Stritzke</b> <i>Managing Director Credit Suisse</i> <i>ISSA Board Member</i>
07:00 – 08:15	Breakfast	
08:30 – 09:50	<b>Robotics / Artificial Intelligence</b>	<b>Matthias Voelkel</b> <i>Consultant, Partner, Global Leader of the Exchanges &amp; Capital Markets Infrastructure, McKinsey</i> <b>Vincent van Lierde</b> <i>Expert Partner, McKinsey</i> <b>Denise Sommerville</b> <i>Head of Transformation and Program Management, BNY Mellon</i>
09:50 – 10:00	<b>Introduction to Breakout Sessions</b>	<b>Urs Stähli</b> <i>Secretary ISSA</i>
10:00 – 12:00	<b>Breakout Sessions</b> (including Break) <ul style="list-style-type: none"> <li>▪ Cyber / Liability Impacts</li> <li>▪ Distributed Ledger Technology</li> <li>▪ Robotics / Artificial Intelligence</li> </ul>	} 9 Groups (3 Groups per Topic)
12:00 – 13:15	Lunch	
13:15 – 15:30	<b>Breakout Sessions</b> (continued / including Break)	
15:45 – 17:15	<b>General Assembly ISSA</b>	<b>Preparation of Feedback Sessions</b> <ul style="list-style-type: none"> <li>▪ Cyber / Liability Impacts</li> <li>▪ Distributed Ledger Technology</li> <li>▪ Robotics / Artificial Intelligence</li> </ul>
18:30 – 22:00	Cocktails and Gala Dinner	

Friday, 25 May		Moderator of the Day: <b>Josef Landolt</b> CEO ISSA
07:00 – 08:15	Breakfast	
08:30 – 10:00	<b>Feedback and Recommendations from Breakout Sessions</b> Panel	<b>Moderator</b> <b>Simon Thomas</b> <i>Chief Executive Officer, Thomas Murray Network Management Limited</i>  <b>Panelists</b> One per topic
10:00 – 10:30	Break	
10:30 – 11:45	<b>Financial Crime Compliance Principles</b> Panel	<b>Moderator</b> <b>Vicky Kyproglou</b> <i>Head Network Management &amp; Market Infrastructure Management, UBS</i> <i>ISSA Board Member</i>  <b>Panelists</b> <b>Mark Gem</b> <i>Chief Compliance Officer, Clearstream</i>  <b>Yannick ChereI</b> <i>Head Financial Crimes Compliance, Standard Chartered Bank</i>  <b>Rita Mason</b> <i>SIX Securities &amp; Exchanges, Senior Legal Counsel</i>  <b>Emma Johnson</b> <i>Market Advocacy, Deutsche Bank</i>  <b>Karen Cox</b> <i>Head of AML for Markets and Securities Services, Citibank</i>
11:45 – 12:00	<b>Symposium Closing</b>	<b>Lee Waite</b> <i>Managing Director, Head of North America Markets and Securities Services, Citigroup</i> <i>Chairman ISSA (as of 24 May, 2018)</i>
12:15 – 13:30	Lunch	
Afternoon	Departure of Participants	